### BABERGH DISTRICT COUNCIL and MID SUFFOLK DISTRICT COUNCIL

From: Monite	oring Officer	Report Number:	JAC101
To: Joint A	Audit and Standards nittee	Date of meeting:	13 March 2017

#### COMPLAINTS MONITORING REPORT

# 1. Purpose of Report

1.1 To report on Code of Conduct complaints received or determined since the last time that such complaints were reported to the Committee.

## 2. Recommendation

2.1 That the content of this report be noted.

# 3. Financial Implications

3.1 No direct costs have been incurred in the handling of complaints.

# 4. Risk Management

4.1 This report is most closely linked with the Councils' Significant Business Risk No. 5c – Failure to develop clear governance arrangements that enable the right decisions to be taken that are appropriate for the environment that we are operating in.

Key Risks are set out below:

Risk Description	Likelihood	Impact	Mitigation Measures	
Complaints are not handled promptly	2 - Unlikely	1 - Minimal	Monitoring of complaints	
Decisions are not sound	2 - Unlikely	3 - Bad	Apply adopted procedures	

#### 5. Consultations

An 'independent person' appointed under the Localism Act 2011 has or will be consulted on each complaint.

### 6. Equality Analysis

6.1 Not relevant to this report.

# 7. Shared Service / Partnership Implications

7.1 The same processes are applied across both Councils.

# 8. Key Information

	BDC	MSDC	TOTAL
Number of complaints received since last meeting	10	4	14
Number of complaints against District Councillors	0	0	0
Number of complaints against Parish Councillors	10	4	14
Number of complaints upheld & action taken	1*	0	1
Number of findings of no breach of the Code & no action taken	8	1	9
Number of complaints under consideration/pending decision	1	3	4

• Non-declaration of pecuniary interest referred to Suffolk Police.

# 9. Background Papers

None.

Emily Yule Assistant Director - Law and Governance & Monitoring Officer

emily.yule@baberghmidsuffolk.gov.uk 01449 724694 / 01473 825891